

RECEIVED

JAN 28 2002

RECEIVED
#134

TECH CENTER 1600/2900

AEOMICA-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Sharron G. Penn et al.

Serial No. : 09/774,203

Confirmation No. : 7320

Filed: : January 29, 2001

For: : METHODS AND APPARATUS FOR PREDICTING,
CONFIRMING, AND DISPLAYING FUNCTIONAL
INFORMATION DERIVED FROM GENOMIC
SEQUENCE

Group Art Unit : 1645

RECEIVED

NOV 14 2001

TECH CENTER 1600/2900

Hon. Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

2nd REQUEST FOR ISSUANCE OF CORRECTED FILING RECEIPT

Sir:

This is a 2nd request for issuance of a corrected Filing Receipt for the above-identified patent application.

The "Updated Filing Receipt" (copy enclosed) lists, among the domestic priority data, that benefit is sought of the filing date of "60/236,369, filed 09/29/2000". That is incorrect. The correct priority application is "60/236,359, filed 09/27/2000", as shown on the executed declaration and power of attorney (a copy of which is enclosed).

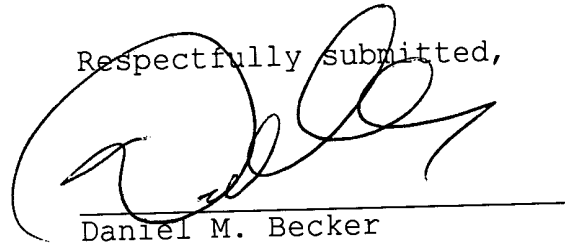
Accordingly, applicant respectfully requests that the Patent and Trademark Office issue a further corrected Filing

Receipt reflecting the correct priority claim for this application.

The Commissioner is hereby authorized to charge any fees required in connection with this Request to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed herewith.

4 SEPT 2001
Date

Respectfully submitted,



Daniel M. Becker
Registration No. 38,376
Attorney for Applicants

FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020
Tel.: (650) 617-4000

I Hereby certify that this
correspondence is being deposited with
the United States Postal Service as
first class mail in an envelope
addressed to Commissioner of
Patents and Trademarks, Washington,
D.C. 20231, on 4 September 2001

YOLANDA KEPNER
Name of Person Signing Certificate

Yolandakepner
Signature of Person Signing Certificate

09-04-01
Date of Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 7320

SERIAL NUMBER 09/774,203	FILING DATE 01/29/2001 RULE	CLASS 435	GROUP ART UNIT 1645	ATTORNEY DOCKET NO. AEOMICA-1
APPLICANTS Sharron Gaynor Penn, San Mateo, CA; David Russell Rank, Fremont, CA; David Kagen Hanzel, Palo Alto, CA;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/180,312 02/04/2000 AND CLAIMS BENEFIT OF 60/207,456 05/26/2000 AND CLAIMS BENEFIT OF 60/234,687 09/21/2000 AND CLAIMS BENEFIT OF 60/236,359 09/27/2000 AND A CIP OF 09/632,366 08/03/2000 AND A CIP OF 09/608,408 06/30/2000				
** FOREIGN APPLICATIONS ***** UNITED KINGDOM 0024263.6 10/04/2000				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/14/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged Examiner's Signature Initials		STATE OR COUNTRY CA	SHEETS DRAWING 10	TOTAL CLAIMS 92
		INDEPENDENT CLAIMS 11		
ADDRESS 1473				
TITLE Methods and apparatus for predicting, confirming, and displaying functional information derived from genomic sequence				
FILING FEE RECEIVED 3982	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

RECEIVED

NOV 14 2001

TECH CENTER 1600/2900
RECEIVED

JAN 28 2002

TECH CENTER 1600/2900



RECEIVED

NOV 14 2001

JAN 28 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900 TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/774,203	01/29/2001	1645	3982	AEOMICA-1	10	92	11

CONFIRMATION NO. 7320

1473
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
50TH FLOOR
NEW YORK, NY 10020-1105

UPDATED FILING RECEIPT



OC000000006411624

Date Mailed: 08/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Sharron Gaynor Penn, San Mateo, CA;
David Russell Rank, Fremont, CA;
David Kagen Hanzel, Palo Alto, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/180,312 02/04/2000
AND CLAIMS BENEFIT OF 60/207,456 05/26/2000
AND CLAIMS BENEFIT OF 60/234,687 09/21/2000
AND CLAIMS BENEFIT OF 60/236,369 09/29/2000 *
AND A CIP OF 09/632,366 08/03/2000
AND A CIP OF 09/608,408 06/30/2000
(*) Data inconsistent with PTO records.

Foreign Applications

UNITED KINGDOM 0024263.6 10/04/2000

If Required, Foreign Filing License Granted 05/14/2001

Projected Publication Date: 11/22/2001

Non-Publication Request: No

Early Publication Request: No

RECEIVED

AUG 14 2001

FISH & NEAVE - PATENT DEPT.
REFERRED TO DMB
NOTED BY _____

ORIG. COPY
SENT TO
CALIFORNIA

BY L. Garcia

Title

Methods and apparatus for predicting, confirming, and displaying functional information derived from genomic sequence

Preliminary Class

435

Data entry by : STEPHANOS, FEKERTE

Team : OIPE

Date: 08/10/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

RECEIVED

JAN 28 2002

TECH CENTER 1600/2900

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231